

PTO-1449

(Rev. 2-3-97)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Atty. Docket No. 6116.200-US

Serial No. 09/757,788

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTApplicant **Keith Anderson et al.**

(Use several sheets if necessary)

Filing Date **January 10, 2001**Group **To be assigned**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RT	5,277,195	01/11/94	David R. Williams	128	725	02/03/92
	5,327,883	07/12/94	David R. William, et al.	128	203.12	05/20/91
	5,492,112	02/20/96	Mark B. Mecikalski, et al.	128	203.15	04/14/94
	5,577,497	11/26/96	Mark B. Mecikalski, et al.	128	203.15	10/14/93
	5,622,166	04/22/97	Robert F. Eisele, et al.	128	203.12	04/24/95
	5,645,051	07/08/97	Robert K. Schultz, et al	128	203.15	04/21/95

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
RT	EPO 0 619 322 A2	10/12/94	EPO				
	EPO 0 699 686 A2	03/06/96	EPO				
	EPO 0 708 179 A2	04/24/96	EPO				
	WO 87/06941	11/19/87	WIPO				
	WO 90/11296	10/04/90	WPIO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

RT	Clodfelter DK et al. Effects of non-covalent self-association on the subcutaneous absorption of a therapeutic
	Vol. 15 No. 2, ppgs. 254-262 (1998)
	Patton JS, Bukar J, Nagarajan S. Inhaled Insulin. Adv Drug Rev. 35 ppgs. 235-247 (1999)
	Evans, WE ed., Applied Pharmacokinetics: Principles of Therapeutic Drug Monitoring, 3 <sup>rd</sup> edition, Ch1-3, (1992) (Abstract)
	Schmidt et al ; Diabetologia Vol. 28 ppgs 704-707 (1985)
	J. Yu, et al ., Pulmonary drug delivery: Physiologic and Mechanistic Aspects., Critical Review Ther Drug Carr System 14(4) ppgs. 395-453 (1997)
	D. Edward, et al., Recent advances in pulmonary drug delivery using large, porous inhaled particles J. Appl Physiol 85(2) ppgs. 379-385 (1998)

EXAMINER

R.T. Allen

DATE CONSIDERED

8/12/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**Serial No. 09/757,788**

Applicant **Keith Anderson et al.**

**Filing Date January 10, 2001**

**Group To be assigned**

REMARK		U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RT		5,654,007	08/05/97	Keith A. Johnson, et al	424	489	06/07/95
		5,655,523	08/12/97	Peter D. Hodson et al	128	315	05/22/95
		5,694,920	12/09/97	Andrew L. Abrams, et al	128	200	01/24/97
		5,699,649	12/23/97	Andrew L. Abrams, et al	53	429	07/02/96
		5,725,841	03/10/98	Daniel C. Duan, et al	424	45	10/28/96
		5,780,014	07/14/98	Mohammed Eljamal, et al	424	46	03/13/96

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
RT		WO 91/11457	08/08/91	WIPO				
		WO 93/19175	09/30/93	WIPO				
		WO 93/25579	12/23/93	WIPO				
		WO 96/32149	10/17/96	WIPO				
		WO 97/41833	11/13/97	WIPO				

[illegible]

R.T. Allen

8/12/03

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-249  
(Rev. 2-32)

MAY 07 2001

**U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**

**Atty. Docket No. 6116.200-US**

**Serial No. 09/757,788**

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

**Applicant Keith Anderson et al.**

**Filing Date January 10, 2001**

**Group To be assigned**

## U.S. PATENT DOCUMENTS

TRADEMARK		U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RT		5,855,913	01/05/99	Justin Hanes, et al	424	489	01/16/97
I		5,874,064	02/23/99	David A. Edwards	424	46	10/29/96

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
RT     I		WO 97/47286	12/18/97	WIPO				
		WO 98/08871	03/05/98	WIPO				
		WO 98/13031	04/02/98	WIPO				
		WO 98/16205	04/23/98	WIPO				
		WO 98/25589	06/18/98	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
<p>1. <i>Journal of the American Medical Association</i>, Vol. 100, No. 1, p. 1, 1935.</p> <p>2. <i>Journal of the American Medical Association</i>, Vol. 100, No. 1, p. 1, 1935.</p> <p>3. <i>Journal of the American Medical Association</i>, Vol. 100, No. 1, p. 1, 1935.</p> <p>4. <i>Journal of the American Medical Association</i>, Vol. 100, No. 1, p. 1, 1935.</p> <p>5. <i>Journal of the American Medical Association</i>, Vol. 100, No. 1, p. 1, 1935.</p> <p>6. <i>Journal of the American Medical Association</i>, Vol. 100, No. 1, p. 1, 1935.</p> <p>7. <i>Journal of the American Medical Association</i>, Vol. 100, No. 1, p. 1, 1935.</p> <p>8. <i>Journal of the American Medical Association</i>, Vol. 100, No. 1, p. 1, 1935.</p> <p>9. <i>Journal of the American Medical Association</i>, Vol. 100, No. 1, p. 1, 1935.</p> <p>10. <i>Journal of the American Medical Association</i>, Vol. 100, No. 1, p. 1, 1935.</p>	

[illegible]

**EXAMINER**

R. T. Ellen

**DATE CONSIDERED**

8/12/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**Serial No. 09/757,788**

Applicant **Keith Anderson et al.**

**Filing Date January 10, 2001**

**Group To be assigned**

[illegible]

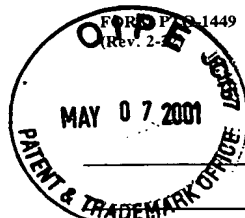
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
RT         		WO 98/29096	07/09/98	WIPO				
		WO 98/29098	07/09/98	WIPO				
		WO 98/29140	07/09/98	WIPO				
		WO 98/29141	07/09/98	WIPO				
		WO 98/30262	07/16/98	WIPO				

[illegible]

R. Teller

8/12/03

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Atty. Docket No. 6116.200-US

Serial No. 09/757,788

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

Applicant **Keith Anderson et al.**

(Use several sheets if necessary)

Filing Date **January 10, 2001**Group **To be assigned**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
RT I	WO 98/34596	08/13/98	WIPO				
	WO 98/35888	08/20/98	WIPO				
	WO 98/43658	10/08/98	WIPO				
	WO 99/16419	04/08/99	WIPO				
	WO 99/29336	06/17/99	WIPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

R-T ellen

DATE CONSIDERED

8/12/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Atty. Docket No. 6116.200-US

Serial No. 09/757,788

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTApplicant **Keith Anderson et al.**

(Use several sheets if necessary)

Filing Date **January 10, 2001**Group **To be assigned**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
RT 	WO 99/36334	07/22/99	WIPO				
	WO 99/43341	09/02/99	WIPO				
	WO 99/43705	09/02/99	WIPO				
	WO 99/43706	09/02/99	WIPO				
	WO 99/43707	09/02/99	WIPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

R. Teller

DATE CONSIDERED

8/12/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Atty. Docket No. 6116.200-US

Serial No. 09/757,788

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTApplicant **Keith Anderson et al.**

(Use several sheets if necessary)

Filing Date **January 10, 2001**Group **To be assigned**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
RT	WO 99/43708	09/02/99	WIPO				
I	WO 99/47160	09/23/99	WIPO				
	WO 99/48476	09/30/99	WIPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

R. Teller

DATE CONSIDERED

8/12/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

